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Total Number of Pages in This Submission

13

Application Number

10/650,360

Filing Date

August 27, 2003

First Named Inventor

Leung, Albert

Art Unit

2188

Examiner Name

Padmanabhan Mano

Attorney Docket Number

021154-000810US

ENCLOSURES (Check all that apply)

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| <input type="checkbox"/> Fee Transmittal Form
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Remarks

The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430.

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name

Townsend and Townsend and Crew LLP

Signature

S. B. Kotwal

Printed name

Sujit B. Kotwal

Date

December 15, 2005

Reg. No.

43,336

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TOWNSEND and TOWNSEND and CREW LLP

By: Mustafa D. Muhamad

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

ALBERT LEUNG et al.

Application No.: 10/650,360

Filed: August 27, 2003

For: OPTIMIZING STORAGE
CAPACITY UTILIZATION BASED
UPON DATA STORAGE COSTS

Examiner: Padmanabhan Mano

Art Unit: 2188

SUPPLEMENTAL INFORMATION
DISCLOSURE STATEMENT UNDER 37
CFR §1.97 and §1.98

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Sir:

The references cited on attached form PTO/SB/08A and PTO/SB/08B are being called to the attention of the Examiner. Copies of the non-patent references [in compliance with the requirements of 1287 OG 163] are enclosed. It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Applicant believes that no fee is required for submission of this statement. However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,

S. B. Kotwal

Sujit B. Kotwal
Reg. No. 43,336

TOWNSEND and TOWNSEND and CREW LLP
Two Embarcadero Center, Eighth Floor
San Francisco, California 94111-3834
Tel: 650-326-2400
Fax: 650-326-2422
SBK:km
60658832 v1



SUBSTITUTE FOR FORM 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Complete if Known	
		Application Number	10/650,360
		Filing Date	August 27, 2003
		First Named Inventor	Leung, Albert
		Art Unit	2188
		Examiner Name	Padmanabhan Mano
Sheet 1 of 2	Attorney Docket Number	021154-000810US	

U.S. PATENT DOCUMENTS+					
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number Kind Code ² (if known)			
	AA	US-6,941,560	09/06/2005	Lowry et al.	
	AB	US-6,915,338	07/05/2005	Hunt et al.	
	AC	US-6,839,766	01/04/2005	Parnafes et al.	
	AD	US-6,678,248	01/13/2004	Haddock et al.	
	AE	US-6,662,235	12/09/2003	Callis et al.	
	AF	US-6,654,864	11/25/2003	Shaath et al.	
	AG	US-6,466,952	10/15/2002	Hanes et al.	
	AH	US-6,438,642	08/20/2002	Shaath	
	AI	US-6,370,545	04/09/2002	Shaath	
	AJ	US-6,336,175	01/01/2002	Shaath et al.	
	AK	US-			
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FOREIGN PATENT DOCUMENTS						
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		Country Code ³	Number ⁴ Kind Code ⁵ (if known)			
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Substitute for form 1449B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Complete if Known	
				Application Number	10/650,360
				Filing Date	August 27, 2003
				First Named Inventor	Leung, Albert
				Art Unit	2188
				Examiner Name	Padmanabhan Mano
Sheet	2	of	2	Attorney Docket Number	021154-000810US

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	BC	SUN (Sun Microsystems, Inc., "Solstice Backup™ 5.5, Administration Guide", Part No. 806-1135-10, September 1999, Revision A), pg. 1-2, 140-141.	
	BD		
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